DANN DORFMAN HERRELL AND SKILLMAN

HENRY H. SKILLMAN JOHN C. DORFMAN ROGER W. HERRELL PATRICK J. HAGAN DONALD R. PIPER, JR. VINCENT T. PACE JOHN B. BERRYHILL, PH.D. STEPHEN H. ELAND KATHLEEN D. RIGAUT, PH.D. CLEMENT A. BERARD, JR.[†] CHRISTOPHER A. ROTHE MARIA M. KOURTAKIS

OF COUNSEL C. MARSHALL DANN JOHN S. CHILD, JR.

PATENT AGENT NIELS HAUN, PH.D.

TECHNICAL ADVISORS TODD L. MAYOVER THOMAS A. MATTIOLI, P.E. SARAH J. FASHENA, Ph.D. A PROFESSIONAL CORPORATION **SUITE 720**

1601 MARKET STREET

PHILADELPHIA, PA 19103-2307

TELEPHONE (215) 563-4100 FACSIMILE (215) 563-4044

COUNSELORS AT LAW

PATENT, TRADEMARK AND COPYRIGHT LAW AND RELATED CAUSES

PROSECUTION LICENSING LITIGATION DISPUTE RESOLUTION

TNJ & NY BARS ONLY

February 27, 2002

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 C.F.R. §1.10

NUMBER OF EXPRESS MAIL MAILING LABEL: EL 924870920 US February 27, 2002 DATE OF DEPOSIT WITH POSTAL SERVICE:

I hereby certify that these papers and fees are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above, in an envelope properly addressed to BOX — FEE — PCT, Assistant Commissioner for Patents, Washington, DC 20231

Georgia M. Peters

Typed Name of Person Mailing Papers and Fees

National Phase Filing in the United States of

International Patent Application No. PCT/EP00/08381

Entitled: "IMPREGNATION METHOD"

Inventor: Jörg Peter Schür

International Filing Date:

August 28, 2000 (28/08/00)

Earliest Claimed Priority Date:

August 27, 1999 (27/08/99)

Our File No. von Kreisler.022

Enclosures:

(For convenient reference, the numbers below are marked in pencil

in the lower right-hand corner of the first page of the document referenced)

- Certificate of Express Mail, Label No. EL 924870920 US (1)
- Transmittal Letter to the DO/EO/US Concerning a Filing Under 35 U.S.C. § 371 (2 pages) (2) (original + 1 copy of page 2, computation of fees with Authorization to Charge Deposit Account)
- English translation of International Application with original claims and amended claims (3)
- Retyped English translation of International Application in form consistent with 37 C.F.R. § 1.52 (4)
- Original, untranslated International Search Report (5)
- English translation of International Search Report (6)
- Original, untranslated Preliminary Examination Report (7)
- Original, untranslated Response to Preliminary Examination Report with amended claims 1-48 (8)
- Cover sheet of Published International Application No. PCT/EP00/08381 (9) designating the United States
- Preliminary Amendment with Exhibit A (10)
- Check in the amount of \$697.00 (filing fee for a Small Entity) (11)
- Return receipt postcard (12)

OMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTO NEY'S DOCKET NUMBER von Kreisler.022

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

| | CONCERNIN | IG A FILIN | 71 | 1 0/070042 | | | | | | |
|-----------------|---|------------------|-------------------------------------|-------------------|--|--|--|--|--|--|
| | NATIONAL APPLIC | CATION NO. | INTERNATIONAL FILING DA | ATE | PRIORITY DATE CLAIMED | | | | | |
| <u>·</u> | /EP00/08381 | | August 28, 2000 | | August 27, 1999 | | | | | |
| TITLE | OF INVENTION | IMPREGNATI | ON METHOD" | | | | | | | |
| APPLIC | CANT(S) FOR DO/E | | | | | | | | | |
| Applica | | org Peter | | O/EO/US) i | the following items and other information: | | | | | |
| | | | - | | | | | | | |
| | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | |
| 2 | | - | _ | | 71(f)). The submission must include | | | | | |
| 3. 📋 | items (5), (6), (9) an | d (21) indicated | below. | | | | | | | |
| | The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | | | | | |
| 3. <u>[X</u>]≔ | a. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | a. | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. X= | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| | a. X is attached | hereto | | | | | | | | |
| _ | | | tted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. X | Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| | = | ` • | ed only if not communicated by the | e Internatio | onal Bureau). | | | | | |
| | = | | by the International Bureau. | | unto han NOT assessed | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| _ | d. have not been made and will not be made. | | | | | | | | | |
| 8. X | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | An English lanugage Article 36 (35 U.S.C | | ne annexes of the International Pre | eliminary E | xamination Report under PCT | | | | | |
| Iten | ns 11 to 20 below co | ncern documen | t(s) or information included: | | | | | | | |
| 11. | An Information Di | sclosure Stateme | ent under 37 CFR 1.97 and 1.98. | · | | | | | | |
| 12. | An assignment doo | ument for recor | ding. A separate cover sheet in co | ompliance v | with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. X | A FIRST prelimin | ary amendment. | | | | | | | | |
| 14. | A SECOND or SU | JBSEQUENT pi | eliminary amendment. | | | | | | | |
| 15.X | A substitute specif | ication. | | | | | | | | |
| 16. | A change of power | of attorney and | or address letter. | | | | | | | |
| 17. | A computer-readab | le form of the s | equence listing in accordance with | PCT Rule | 13ter.2 and 35 U.S.C. 1.821 - 1.825. | | | | | |
| 18. | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. | Other items or info | ormation: | | | | | | | | |
| | | | | | | | | | | |

| U.S. APPEIC TO OUT THE | 1 / 1 1 1 1 1 27 | PCT/EP00/08381 | | | von Kre | isler.022 | | | | |
|--|--|--|------------------------|-------------|------------------|---------------|--|--|--|--|
| 21. X The follow | ing fees are submitted: | | - | CA | LCULATIONS | PTO USE ONLY | | | | |
| . — | FEE (37 CFR 1.492 (a) | | | ***** | | | | | | |
| Neither internation | al preliminary examination | | | | | | | | | |
| and International S | earch fee (37 CFR 1.445) earch Report not prepare | | | | | | | | | |
| | ninary examination fee (3 ational Search Report pre | | | | | | | | | |
| International prelim but international se | ninary examination fee (3 arch fee (37 CFR 1.445(a | | | | | | | | | |
| International prelim but all claims did no | ninary examination fee (3 ot satisfy provisions of PC | | | | | | | | | |
| and all claims satist | ninary examination fee (3 field provisions of PCT A | | | | | | | | | |
| ļ | R APPROPRIATE | \$ | 890.00 | | | | | | | |
| Surcharge of \$130.0 months from the ear | 0 for furnishing the oath liest claimed priority date | \$ | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | <u> </u> | | | | |
| Total claims | 48 - 20 = | 28 | x \$18.00 | \$ | 504.00 | | | | | |
| Independent claims | 1 -3 = | 0 | x \$84.00 | \$ | 00 | | | | | |
| MULTIPLE DEPEN | DENT CLAIM(S) (if ap | | + \$280.00 | \$ | 0 | | | | | |
| | | OF ABOVE CALCU | | \$ | 1,394.00 | | | | | |
| Applicant claim are reduced by | is small entity status. Sec 1/2. | e 37 CFR 1.27. The fees | indicated above + | \$ | 697.00 | | | | | |
| | | \$ | 697.00 | | | | | | | |
| Processing fee of \$1 months from the ear | 30.00 for furnishing the liest claimed priority date | \$ | | | | | | | | |
| | | \$ | | | | | | | | |
| Fee for recording the accompanied by an a | e enclosed assignment (3 appropriate cover sheet (3 | \$ | | | | | | | | |
| | | \$ | 697.00 | | | | | | | |
| | | Amount to be refunded: | | \$ | | | | | | |
| | | | | | charged: | \$ | | | | |
| a. X A check in | the amount of \$ 697 | 7.00 to cover th | e above fees is enclos | sed. | | | | | | |
| h 🖂 Dlanca char | ga my Danosit Account | No in | the amount of \$ | | to cover th | e ahove fees | | | | |
| | copy of this sheet is enc | | the amount of \$ | | to cover th | e above tees. | | | | |
| | | ized to charge any additio o. <u>04-1406</u> A duplic | | | | any | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card | | | | | | | | | | |
| information | should not be included | on this form. Provide c | redit card information | and | authorization of | n PTO-2038. | | | | |
| | | under 37 CFR 1.494 or i | | | petition to revi | ve (37 CFR | | | | |
| | • | | | | | 1 | | | | |
| SEND ALL CORRESPO Customer No | | | Child, | <u>n</u> | | | | | | |
| | ld, Jr., Esquir | RE | V | | | | | | | |
| | N HERRELL AND S | s. (| Child, Jr. | _ | | | | | | |
| 1601 Market | Street, Suite | _ | | | | | | | | |
| Philadelphi | a, PA 19103-23 | 3 —— | · | | | | | | | |
| | | ATION | NUMBER | | | | | | | |
| i | | | | | | | | | | |